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Proposed programme budget for the biennium 2012-2013*

Part IX
Internal oversight

Section 31
Internal oversight

(Programme 25 of the strategic framework for the period 2012-2013)**

Contents

		Page
	Overview	2
A.	Executive direction and management	8
B.	Programme of work	11
	Subprogramme 1. Internal audit	11
	Subprogramme 2. Inspection and evaluation	14
	Subprogramme 3. Investigations	18
C.	Programme support	22
Annex		
	Outputs produced in 2010-2011 not to be carried out in the biennium 2012-2013	25

* A summary of the approved programme budget will subsequently be issued as *Official Records of the General Assembly, Sixty-sixth Session, Supplement No. 6* (A/66/6/Add.1).

** *Official Records of the General Assembly, Sixty-fifth Session, Supplement No. 6* (A/65/6/Rev.1).

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Overview

Table 31.1 **Estimates of expenditure**

Proposal submitted by the Secretary-General	$39,025,300[a]
Revised appropriation for 2010-2011	$38,925,000

[a] At 2010-2011 rates.

Table 31.2 **Proposed staffing resources**

Posts	*Number*	*Level*
Regular budget		
Proposed for the biennium 2012-2013	122	1 USG, 1 ASG, 3 D-2, 5 D-1, 13 P-5, 28 P-4, 23 P-3, 15 P 2/1, 8 GS (PL), 24 GS (OL), 1 LL
New posts	1	ASG under Executive direction and management
Conversion[a]	6	3 P-3, 3 P-2/1, for subprogramme 2
Approved for the biennium 2010-2011	115	1 USG, 3 D-2, 5 D-1, 13 P-5, 28 P-4, 20 P-3, 12 P 2/1, 8 GS (PL), 24 GS (OL), 1 LL

Abbreviations: ASG, Assistant Secretary-General; USG, Under-Secretary-General; GS, General Service; OL, Other level; PL, Principal level; LL, Local level.

[a] Conversion from general temporary assistance to established posts.

31.1 The overall purpose of the programme is to enhance transparency and accountability and contribute to high efficiency, effectiveness and goal fulfilment in the Organization. The Office of Internal Oversight Services (OIOS) exercises operational independence under the authority of the Secretary-General in the conduct of its duties, in accordance with Article 97 of the Charter of the United Nations. The Office has the authority to initiate, carry out and report on any action it considers necessary to fulfil its responsibilities with regard to its oversight functions. The Office assists the Secretary-General in fulfilling his internal oversight responsibilities in respect of the resources and staff of the Organization through monitoring, internal audit, inspection, evaluation and investigation.

31.2 The mandate for the programme is derived from General Assembly resolutions 48/218 B, 54/244 and 59/272, the relevant provisions of the Financial Regulations and Rules of the United Nations (ST/SGB/2003/7) and of the Regulations and Rules Governing Programme Planning, the Programme Aspects of the Budget, the Monitoring of Implementation and the Methods of Evaluation (ST/SGB/2000/8). The Office works closely with the other United Nations oversight bodies, namely, the United Nations Board of Auditors and the Joint Inspection Unit, to ensure that work is effectively coordinated.

31.3 The Office strives to achieve accountability and transparency by supporting the Organization as it endeavours to establish an effective and transparent system of accountability and to enhance its capacity to identify, assess and mitigate the risks. To that end, the Office will (a) propose measures to assist the Organization in establishing a proper internal control framework, including a risk management capacity; (b) provide independent information and assessments to assist effective decision-making; and (c) provide independent reviews of the effectiveness of the use of the

Organization's resources. This will be accomplished through the issuance of timely, high-quality reports on inspection, evaluation, internal audit and investigation, in accordance with applicable international standards.

31.4 The Office assists the Organization in achieving better results by determining the factors affecting the efficient and effective implementation of programmes in accordance with intergovernmental mandates and, inter alia, the internationally agreed development goals, including the United Nations Millennium Development Goals and those contained in the outcomes of the major United Nations conferences and international agreements since 1992. In addition, the Office undertakes a number of measures to support the Organization's commitment to gender mainstreaming, including oversight of United Nations gender mainstreaming efforts.

31.5 Under the framework of system-wide coherence, the Office continues to coordinate regularly with other United Nations oversight entities, including the Board of Auditors and the Joint Inspection Unit, to ensure that potential duplication and overlap in the conduct of oversight work are avoided and to minimize any gaps in oversight coverage. Aside from sharing workplans, the Office holds bimonthly meetings with the Board of Auditors to discuss issues of mutual interest and meets with the Joint Inspection Unit on an ad hoc basis when issues of particular concern arise. The senior representatives of those entities take part in annual tripartite meetings to discuss oversight coordination. The Office also contributes actively to the work and meetings of the Representatives of Internal Audit Services of the United Nations Organizations and Multilateral Financial Institutions (RIAS) and the United Nations Evaluation Group (UNEG). In addition, the Office hosted a conference on the risks and challenges faced by investigators following the earthquake in Haiti, which involved over 30 participants representing seven international organizations of the United Nations system and Bretton Woods institutions. The conference served as a forum for exchange of views, lessons learned and experience from other emergency/reconstruction efforts in the past. In June 2010, OIOS also hosted the eleventh Conference of International Investigators, which serves as a global forum for international organizations and multilateral institutions to engage in reform, promoting accountability and transparency.

31.6 In efforts to comply with the General Assembly's request contained in its resolution 64/259, the Under-Secretary-General signed a compact with the Secretary-General in February 2011 in which the Under-Secretary-General made a commitment to achieve specific objectives relating to the implementation of the programme, proper stewardship of resources, implementation of oversight recommendations, compliance with regulations, rules and ethical standards and management of risk factors and to contribute to the broader interests of the Organization for which the Under-Secretary-General will be held accountable. To this effect, the Under-Secretary-General continues to ensure that the objectives of the programme are reflected in the workplans and performance assessments of all staff at all levels in the Office, and strives to ensure that its work is carried out with the highest standards of professionalism and efficiency in order to realize its vision of being a world-class oversight body, respected and trusted by stakeholders. As reflected in its report on the activities of the Office for the period from 1 July 2009 to 30 June 2010 (A/65/271 (Part I) and Corr.1), key initiatives undertaken by the Office to strengthen its functions include:

(a) The alignment of work priorities with organizational risks to guide the Office in identifying emerging issues, challenges and potential exposures of the Organization. Risk analysis enables the Office to prioritize the allocation of resources to oversight of programmatic and operational areas that have the greatest exposure to risks of fraud, waste, abuse, inefficiencies and mismanagement;

(b) Preparation of a toolkit for auditing strategic and governance risks in response to the recurrence of issues in these high-risk areas which provides auditors with a set of criteria, based on best practice;

(c) Adoption of a risk assessment methodology by the Inspection and Evaluation Division based on an analysis of 12 proxy risk indicators and which forms the basis for planning the Division's evaluation work covering all 27 programmes;

(d) Cultivating a professional workforce through assessment of state of knowledge, skills and abilities to determine the training and additional human resources necessary to fill the gaps in skill sets.

31.7 The overall resources for the Office of Internal Oversight Services for the biennium 2012-2013 amount to $39,025,300 (before recosting), reflecting a growth of $100,300 compared with the revised appropriation for the biennium 2010-2011.

31.8 The growth shown in table 31.4 below can be summarized as follows:

(a) The net increase of $259,000 under executive direction and management relates mainly to requirements under posts stemming from the proposed establishment of one new post of Assistant Secretary-General;

(b) The net decrease of $173,700 under programme of work represents:

(i) A net decrease of $137,000 under subprogramme 1, owing to reduced non-post provisions broadly related to consultants and contractual services as a result of reduced requirements for external expertise in the areas of strategic management and governance, supply chain management, ethics, human resources and risk management specialists;

(ii) A net increase of $161,300 under subprogramme 2, comprising an increase of $1,215,700 for posts owing to the proposed conversion of six general temporary assistance positions (3 P-3, 3 P-2) to established posts, and the delayed impact resulting from the conversion of three general temporary assistance positions to new posts (1 D-1, 1 P-2, 1 General Service (Other level)) during the biennium 2010-2011; offset in part by a reduction of $1,054,400 under non-post objects of expenditure owing, inter alia, to reduced provisions for general temporary assistance as a result of the proposed conversion of six positions (3 P-3, 3 P-2) to established posts currently proposed;

(iii) A net decrease of $198,000 under subprogramme 3, comprising a reduction of $257,900 under non-post objects of expenditure owing, inter alia, to decreased requirements for travel of staff and contractual services, offset in part by an increase of $59,900 for posts, relating to the proposed redeployment of five posts across duty stations within the subprogramme and to the redeployment of one post from Nairobi to New York (1 P-4), three posts from Vienna to New York (1 P-3, 2 P-2) and one post from Vienna to Nairobi (1 P-2);

(c) The net increase of $15,000 under programme support mainly relates to increased non-post requirements under contractual services for common support services to support the increased number of posts proposed for redeployment to New York from Vienna and Nairobi. Common support services costs are budgeted at each duty station and for the posts at Headquarters, the costs are centrally managed under programme support. The increased requirement for common support services is cost neutral in that the increase in New York is offset by reduced requirements in Vienna and Nairobi.

31.9 During the biennium 2012-2013, the projected other assessed resources amounting to $54,584,300, representing 49.4 per cent of overall resource requirements, derived from internal oversight activities related to the capital master plan project, the International Tribunal for the Former Yugoslavia, the International Criminal Tribunal for Rwanda and peacekeeping operations and

activities. The projected level represents an increase of approximately $3,275,100 over the biennium 2010-2011, arising mainly from increased requirements under the support account owing to increased mission-specific standard salary costs, and increased requirements under general temporary assistance and official travel as reflected in the Secretary-General's report on the budget for the support account for peacekeeping operations for the period from 1 July 2011 to 30 June 2012 (A/65/761).

31.10 Further, during the biennium 2012-2013, projected extrabudgetary resources amounting to $16,494,200, representing 14.9 per cent of overall resource requirements, would be utilized for internal oversight activities related to the United Nations funds and programmes, the United Nations Joint Staff Pension Fund (UNJSPF), the United Nations Human Settlements Programme (UN-Habitat), and technical cooperation activities. The projected level represents an increase of approximately $1,180,600 over the biennium 2010-2011, arising mainly from increased requirements under the United Nations High Commissioner for Refugees (UNHCR) relating to post costs and operational requirements under travel and general operating expenses.

31.11 The continued availability of other assessed contributions and extrabudgetary resources will have a large impact on the Office's ability to provide the expected level of audit, inspection, evaluation and investigative services to the respective funds and programmes, including technical cooperation activities, the capital master plan project, the International Tribunal for the Former Yugoslavia, the International Criminal Tribunal for Rwanda, and peacekeeping operations, which will in turn enhance their ability to accomplish their objectives by advancing efficiency and accountability through the establishment of internal control mechanisms and continued observance of the respective rules and regulations governing each programme.

31.12 Pursuant to General Assembly resolution 58/269, resources totalling approximately $753,300 (regular budget) and $256,700 (other assessed) have been identified within the available capacity under executive direction and management and the programme of work for the conduct of monitoring and evaluation, comprising 65.5 work-months for the Professional category and 24 work-months for the General Service category. The requirements will provide for discretionary and mandatory self-evaluation of the Office's activities, including the review of data input into the Integrated Monitoring and Documentation Information System (IMDIS), assessments of the quality of its reports, and periodic reviews of its operations.

31.13 The impact of such evaluations has resulted in the identification of internal processes that can be improved, enhanced and strengthened to ensure effective delivery of the services of the Office; and has allowed the programme to assess its compliance with the Internal Audit Manual, standards and code of ethics issued by the Institute of Internal Auditors, including the efficiency and effectiveness undertaken by the programme in meeting the needs of its various stakeholders, and at the same time determine opportunities for improvement and implement modifications to various reports issued by OIOS to enhance the overall quality of the reports through strengthened conclusions and respective recommendations.

31.14 The estimated percentage distribution of resources under the present section would be as shown in table 31.3.

Table 31.3 **Distribution of resources by component**

(Percentage)

Component	*Regular budget*	*Other assessed*	*Extrabudgetary*
A. Executive direction and management	6.8	—	—
B. Programme of work			
1. Internal audit	39.4	59.2	97.1
2. Inspection and evaluation	18.6	3.7	—
3. Investigations	28.4	35.2	2.9
Subtotal	**86.4**	**98.1**	**100.0**
C. Programme support	6.8	1.9	—
Total	**100.0**	**100.0**	**100.0**

Table 31.4 **Resource requirements by component**

(Thousands of United States dollars)

(1) *Regular budget*

Component	*2008-2009 expenditure*	*2010-2011 appropriation*	*Resource growth*		*Total before recosting*	*Recosting*	*2012-2013 estimate*
			Amount	*Percentage*			
A. Executive direction and management	1 963.5	2 403.0	259.0	10.8	2 662.0	15.9	2 677.9
B. Programme of work	30 965.4	33 901.1	(173.7)	(0.5)	33 727.4	306.0	34 033.4
C. Programme support	2 011.8	2 620.9	15.0	0.6	2 635.9	54.3	2 690.2
Subtotal	**34 940.7**	**38 925.0**	**100.3**	**0.3**	**39 025.3**	**376.2**	**39 401.5**

(2) *Other assessed*

Component	*2008-2009 expenditure*	*2010-2011 estimate*	*2012-2013 estimate*
A. Executive direction and management	—	—	—
B. Programme of work	44 073.3	50 178.7	53 552.8
C. Programme support	991.0	1 130.5	1 031.5
Subtotal	**45 064.3**	**51 309.2**	**54 584.3**

(2) *Extrabudgetary*

	2008-2009 expenditure	*2010-2011 estimate*	*2012-2013 estimate*
A. Executive direction and management	—	—	—
B. Programme of work	9 711.1	15 313.6	16 494.2
C. Programme support	—	—	—
Subtotal	**9 711.1**	**15 313.6**	**16 494.2**
Total (1) and (2)	**89 716.1**	**105 547.8**	**110 480.0**

Table 31.5 **Post requirements**

	Established regular budget posts		*Temporary posts*							
			Regular budget		*Other assessed*		*Extrabudgetary*		*Total*	
Category	*2010-2011*	*2012-2013*	*2010-2011*	*2012-2013*	*2010-2011*	*2012-2013*	*2010-2011*	*2012-2013*	*2010-2011*	*2012-2013*
Professional and higher										
Under-Secretary-General	1	1	—	—	—	—	—	—	1	1
Assistant Secretary-General	—	1	—	—	—	—	—	—	—	1
D-2	3	3	—	—	—	—	—	—	3	3
D-1	5	5	—	—	3	3	1	1	9	9
P-5	13	13	—	—	16	16	6	6	35	35
P-4/3	48	51	—	—	102	99	25	25	175	175
P-2/1	12	15	—	—	—	—	1	1	13	16
Subtotal	**82**	**89**	**—**	**—**	**121**	**118**	**33**	**33**	**236**	**240**
General Service										
Principal level	8	8	—	—	2	2	—	—	10	10
Other level	24	24	—	—	15	16	13	13	52	53
Subtotal	**32**	**32**	**—**	**—**	**17**	**18**	**13**	**13**	**62**	**63**

Category	Established regular budget posts		Temporary posts: Regular budget		Temporary posts: Other assessed		Temporary posts: Extrabudgetary		Total	
	2010-2011	2012-2013	2010-2011	2012-2013	2010-2011	2012-2013	2010-2011	2012-2013	2010-2011	2012-2013
Other										
Local level	1	1	—	—	7	6	—	—	8	7
Field Service	—	—	—	—	17	16	—	—	17	16
Subtotal	**1**	**1**	—	—	**24**	**22**	—	—	**25**	**23**
Total	**?15**	**122**	—	—	**162**[a]	**158**[b]	**46**[a]	**4e**[b]	**323**	**326**

[a] Includes posts for other assessed and extrabudgetary oversight activities as follows: UNEP (1 P-4, 1 General Service (Other level)); UN-Habitat (1 P-3, 1 General Service (Other level)); UNHCR (1 D-1, 3 P-5, 9 P-4, 5 P-3, 4 General Service (Other level)); OHCHR (1 P-4); United Nations Office on Drugs and Crime (1 P-4); Jointly financed activities (1 P-2); United Nations Framework Convention on Climate Change (1 P-4); UNJSPF (1 P-5, 2 P-4, 1 P-3, 1 General Service (Other level)); Reimbursement Support Account for the Office of Internal Oversight Services (2 General Service (Other level)); programme support costs of other extrabudgetary substantive activities (2 P-5, 1 P-4, 1 P-3, 3 General Service (Other level)); technical cooperation (1 P-4, 1 General Service (Other level)); International Tribunal for Yugoslavia (1 P-4, 1 P-3); International Criminal Tribunal for Rwanda (2 P-4, 1 P-3); capital master plan (1 P-5, 1 P-4), and support for peacekeeping activities (3 D-1, 15 P-5, 52 P-4, 44 P-3, 2 General Service (Principal level), 15 General Service (Other level), 17 Field Service and 7 (Local level)), totalling 208 posts.

[b] Includes posts for other assessed and extrabudgetary oversight activities as follows: UNEP (1 P-4, 1 General Service (Other level)); UN-Habitat (1 P-3, 1 General Service (Other level)); UNHCR (1 D-1, 3 P-5, 9 P-4, 5 P-3, 4 General Service (Other level)); OHCHR (1 P-4); United Nations Office on Drugs and Crime (1 P-4); Jointly financed activities (1 P-2); United Nations Framework Convention on Climate Change (1 P-4); UNJSPF (1 P-5, 2 P-4, 1 P-3, 1 General Service (Other level)); Reimbursement Support Account for the Office of Internal Oversight Services (2 General Service (Other level)); programme support costs of other extrabudgetary substantive activities (2 P-5, 1 P-4, 1 P-3, 3 General Service (Other level)); technical cooperation (1 P-4, 1 General Service (Other level)); International Tribunal for Yugoslavia (1 P-4, 1 P-3); International Criminal Tribunal for Rwanda (2 P-4); capital master plan (1 P-5, 1 P-4), and support for peacekeeping activities (3 D-1, 15 P-5, 51 P-4, 43 P-3, 2 General Service (Principal level), 16 General Service (Other level), 16 Field Service and 6 (Local level)), totalling 204 posts.

A. Executive direction and management

Resource requirements (before recosting): $2,662,000

31.15 The Office of the Under-Secretary-General provides overall strategic planning and monitoring, and ensures effective coordination of the workplan for the Office of Internal Oversight Services. The Office of the Under-Secretary-General coordinates the work of the three subprogrammes, undertakes liaison with the United Nations Board of Auditors and the Joint Inspection Unit and maintains a close working relationship with the other inspection and oversight services of the operational funds and programmes as well as with those of the United Nations system. Furthermore, it provides strict quality control over the reports of OIOS to the General Assembly, oversees resource utilization and acts as a focal point on performance management and for compliance monitoring of recommendations of OIOS.

Table 31.6 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To ensure the efficient and effective implementation and management of the Office's programmes, activities and operations in accordance with the relevant legislative mandates, and to facilitate more transparency and accountability

Expected accomplishments of the Secretariat	Indicators of achievement
(a) Increased timeliness of submission of documentation	(a) Increased percentage of pre-session documents submitted in accordance with the required deadline
	Performance measures
	2008-2009: 85 per cent
	Estimate 2010-2011: 90 per cent
	Target 2012-2013: 100 per cent
(b) Continued cooperation with other oversight bodies in the United Nations	(b) Maintenance of the number of activities carried out in collaboration with other entities
	Performance measures
	2008-2009: 8 meetings
	Estimate 2010-2011: 11 meetings
	Target 2012-2013: 11 meetings
(c) Timely recruitment and placement of staff	(c) Reduction in the average number of days a professional post remains vacant
	Performance measures
	2008-2009: 199 days
	Estimate 2010-2011: 182 days
	Target 2012-2013: 160 days

External factors

31.16 The Office is expected to achieve its objectives and expected accomplishments on the assumption that it receives timely feedback from clients and cooperation from external parties.

Outputs

31.17 During the biennium, the following outputs will be delivered:

(a) Servicing of intergovernmental and expert bodies (regular budget): General Assembly:

(i) Substantive servicing of meetings of intergovernmental and expert bodies of the Fifth Committee (6);

(ii) Parliamentary documentation: report on the activities of the Office of Internal Oversight Services, and ad hoc reports to the Assembly on specific activities of the Office (2);

(b) Conference services, administration and oversight (regular budget): organization of and follow-up to meetings (1); strategic planning and initiatives.

Table 31.7 **Resource requirements**

	Resources (thousands of United States dollars)		*Posts*	
Category	*2010-2011*	*2012-2013 (before recosting)*	*2010-2011*	*2012-2013*
Regular budget				
Post	2 156.4	2 471.2	7	8
Non-post	246.6	190.8		
Total	**2 403.0**	**2 662.0**	**7**	**8**

31.18 The requirements of $2,662,000 provide for the continuation of seven posts (1 USG, 1 P-5, 1 P-4, 1 P-3, 1 General Service (Principal level) and 2 General Service (Other level)) and the proposed establishment of one post at the Assistant Secretary-General level ($2,471,200) under posts; and non-post resources of $190,800. The net increase of $314,800 under post resources relates broadly to the proposed establishment of one new post at the Assistant Secretary-General level to support the Office of the Under-Secretary-General in carrying out the responsibilities of the Office described in paragraph 31.15 above. At present, the Under-Secretary-General is supported by three professionals (a special assistant at the P-5 level and 2 programme officers at the P-4 and P-3 levels) and three support staff. The management of approximately 327 posts that are located in New York, Geneva, Vienna, Nairobi and 15 other locations, including peacekeeping and special political missions that are funded from the regular budget, other assessed and extrabudgetary contributions, would require a senior-level post that is critical in ensuring effective coordination among the subprogrammes, as well as to direct and manage the work of the Executive Office. The proposed Assistant Secretary-General post would assist the Under-Secretary-General in implementing the mandate of OIOS, particularly the day-to-day operational and administrative responsibilities, including coordination of the subprogrammes' work, to ensure synergy among the subprogrammes, identify/lead interdivisional activities and assignments, develop and oversee implementation of the OIOS outreach programme, advise the Under-Secretary-General on policy matters concerning the operations of OIOS, follow up on the implementation of resolutions and decisions of governing bodies, act as Chairperson of the various standing and ad hoc interdivisional committees established under the Under-Secretary-General, and manage OIOS in the absence of the Under-Secretary-General. That would allow the Under-Secretary-General to focus more on the strategic and executive issues to ensure that the work of OIOS remains relevant to the work of the United Nations and responsive to the mandate of the General Assembly.

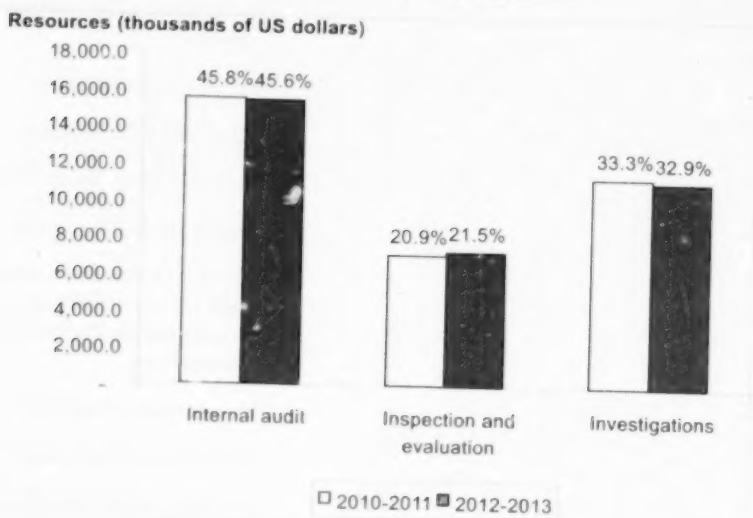
31.19 Reduced requirements are proposed under non-post resources ($55,800), primarily under contractual services, owing to the discontinuation of one-time costs to cover the additional customization of the AutoAudit and Issue Track II databases.

B. Programme of work

Table 31.8 **Resource requirements**

Category	Resources (thousands of United States dollars) 2010-2011	2012-2013 (before recosting)	Posts 2010-2011	2012-2013
Regular budget				
1. Internal audit	15 522.7	15 385.7	48	48
2. Inspection and evaluation	7 078.8	7 240.1	17	23
3. Investigations	11 299.6	11 101.6	35	35
Subtotal	**33 901.1**	**33 727.4**	**100**	**106**
Other assessed	50 178.7	53 552.8	158	154
Extrabudgetary	15 313.6	16 494.2	46	46
Total	**99 393.4**	**103 774.4**	**304**	**306**

Regular budget resource requirements by subprogramme



Subprogramme 1
Internal audit

Resource requirements (before recosting): $15,385,700

31.20 Substantive responsibility for this subprogramme is vested within the Internal Audit Division. The subprogramme will be implemented in accordance with the strategy detailed under subprogramme 1, Internal audit, of programme 25, Internal oversight, of the strategic framework for the period 2012-2013.

Table 31.9 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To strengthen the Organization's risk management and internal control processes and to contribute to improving governance

Expected accomplishments of the Secretariat	Indicators of achievement
(a) Increased ability of Member States and the Secretariat to make decisions based on internal audits, which strengthen internal control and governance processes and improve risk management	(a) (i) Increased mention of the Internal Audit Division's reports in the decision-making of Member States *Performance measures* 2008-2009: not applicable Estimate 2010-2011: 10 times Target 2012-2013: 15 times (ii) Maintained percentage of programme managers that express satisfaction with the accuracy and usefulness of the Internal Audit Division's reports *Performance measures* 2008-2009: 75 per cent Estimate 2010-2011: 95 per cent Target 2012-2013: 95 per cent (iii) Maintained percentage of critical audit recommendations accepted by programme managers relating to risk management *Performance measures* 2008-2009: 95 per cent Estimate 2010-2011: 95 per cent Target 2012-2013: 95 per cent
(b) Improved levels of efficiency and effectiveness in the implementation of mandates and enhanced accountability by programme managers	(b) (i) Maintained percentage of critical audit recommendations accepted by programme managers relating to accountability, efficiency and effectiveness

	Performance measures 2008-2009: 95 per cent Estimate 2010-2011: 95 per cent Target 2012-2013: 95 per cent
	(ii) Maintained percentage of critical audit recommendations accepted by programme managers relating to savings, recoveries of losses and overpayments, and additional income
	Performance measures 2008-2009: 95 per cent Estimate 2010-2011: 95 per cent Target 2012-2013: 95 per cent

External factors

31.21 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that programme managers render full support to the conduct of internal audits and accept recommendations.

Outputs

31.22 During the biennium 2012-2013, the following final outputs will be delivered:

(a) Servicing of intergovernmental and expert bodies (regular budget/other assessed/ extrabudgetary):

(i) General Assembly:

a. Substantive servicing of the Fifth Committee (4);

b. Parliamentary documentation: audit reports on the basis of audits conducted (4);

(b) Conference services, administration and oversight (regular budget/other assessed/ extrabudgetary): review compliance by departments and offices with audit recommendations (4), up to 450 final audit reports for programme managers during the biennium.

Table 31.10 **Resource requirements**

Category	Resources (thousands of United States dollars) 2010-2011	Resources (thousands of United States dollars) 2012-2013 (before recosting)	Posts 2010-2011	Posts 2012-2013
Regular budget				
Post	13 942.3	13 942.3	48	48
Non-post	1 580.4	1 443.4		
Subtotal	**15 522.7**	**15 385.7**	**48**	**48**
Other assessed	31 304.3	32 320.1	100	95
Extrabudgetary	14 868.8	16 018.9	44	44
Total	**61 995.8**	**63 724.7**	**192**	**187**

31.23 The amount of $15,385,700, representing a net decrease of $137,000, would provide for the continuation of 48 posts (1 D-2, 3 D-1, 5 P-5, 10 P-4, 10 P-3, 6 P-2, 4 General Service (Principal level) and 9 General Service (Other level)) totalling $13,942,300, and non-post resources ($1,443,400). The decrease in non-post resources ($137,000) relates primarily to reduced requirements: (a) for consultants; (b) contractual services for risk management specialists and the discontinuation of the non-recurrent provision of $29,200 for the additional customization of the AutoAudit software system and the Issue Track database approved in the context of the budget for 2010-2011; and (c) replacement of furniture and office automation equipment.

31.24 The subprogramme is supported by projected other assessed contributions amounting to $32,320,100, including 95 posts (72 Professional, 4 General Service, 15 Field Service and 4 Local level), and extrabudgetary resources amounting to $16,018,900, including 44 posts (33 Professional and 11 General Service), that will support audit activities related to the United Nations funds and programmes, UNJSPF, UN-Habitat, the International Tribunal for the Former Yugoslavia, the International Criminal Tribunal for Rwanda, technical cooperation activities and peacekeeping operations. The volume of resources under other assessed contributions in comparison to the biennium 2010-2011 is projected to increase by $715,800, mainly under the support account as a result of the increased mission-specific standard salary costs, and increased requirements for general temporary assistance and travel of staff in support of peacekeeping operations (see A/65/761). In respect of extrabudgetary contributions, the volume of resources is projected to increase by $1,150,100 in comparison to the biennium 2010-2011, largely owing to increased requirements under UNHCR relating to post costs and operational requirements under travel and general operating expenses.

Subprogramme 2
Inspection and evaluation

Resource requirements (before recosting): $7,240,100

31.25 Substantive responsibility for this subprogramme is vested within the Inspection and Evaluation Division. The subprogramme will be implemented in accordance with the strategy detailed under subprogramme 2, Inspection and evaluation, of programme 25, Internal oversight, of the strategic framework for the period 2012-2013.

Table 31.11 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To strengthen accountability, relevance, efficiency, effectiveness and impact in the implementation of programmes

Expected accomplishments of the Secretariat	Indicators of achievement
(a) Increased ability of Member States and the Secretariat to make decisions based on Office of Internal Oversight Services inspections and evaluations, including self-evaluation findings, that assess the relevance, efficiency and effectiveness of programmes and subprogrammes	(a) (i) Increased percentage of inspection and evaluation findings and recommendations of a programmatic nature that have contributed to more informed decision-making on efficiency, effectiveness and relevance in the implementation of programmes and subprogrammes *Performance measures* 2008-2009: 38 per cent Estimate 2010-2011: 45 per cent Target 2012-2013: 50 per cent (ii) Increased percentage of Member States and programme managers surveyed who rate the programme evaluation and inspection reports to be useful and of high quality *Performance measures* 2008-2009: 50 per cent Estimate 2010-2011: 62 per cent Target 2012-2013: 70 per cent (iii) Increased number of programmes evaluated *Performance measures* 2008-2009: 2 programmes Estimate 2010-2011: 2 programmes Target 2012-2013: 5 programmes
(b) Increased ability of Member States and the Secretariat to make decisions based on Office of Internal Oversight Services evaluations pertaining to cross-cutting practices within the Secretariat	(b) (i) Increased percentage of inspection and evaluation findings and recommendations of a thematic nature that have contributed to more informed decision-making on the efficiency, effectiveness and relevance of the work of the Organization

Performance measures

2008-2009: 38 per cent

Estimate 2010-2011: 45 per cent

Target 2012-2013: 50 per cent

(ii) Increased percentage of Member States and programme managers surveyed who rate the thematic evaluation and inspection reports to be useful and of high quality

Performance measures

2008-2009: 52 per cent

Estimate 2010-2011: 60 per cent

Target 2012-2013: 65 per cent

External factors

31.26 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that extrabudgetary resources are available for programme evaluations and that programme managers render full support to the conduct of inspections and evaluations, accept and ensure full support to implement recommendations, and are open to advice and guidance provided through self-evaluations.

Outputs

31.27 During the biennium 2012-2013, the following final outputs will be delivered:

(a) Servicing of intergovernmental and expert bodies (regular budget):

(i) General Assembly:

Substantive servicing of meetings, including those of the Fifth Committee on evaluation issues (14);

(ii) Committee for Programme and Coordination:

a. Substantive servicing of meetings of other intergovernmental and expert committees directly concerned with each programme evaluated (14); substantive servicing of the Committee for Programme and Coordination (10);

b. Parliamentary documentation; biennial report on strengthening the role of evaluation (1); in-depth evaluation reports (6); thematic evaluation reports on topics to be decided by the Committee for Programme and Coordination (1); triennial reviews (3);

(b) Conference services, administration, oversight (regular budget):

(i) Inspections: five inspection reports and two reports on compliance with programme performance reporting (7); input to the annual report of OIOS (1); and monitoring the implementation of recommendations resulting from inspections on the status of

implementation (1); support to the Inter-Agency Network on Women and Gender Equality (IANWGE) (1);

(ii) Evaluations: input to the annual report of OIOS (1); in-depth evaluations (2); review of compliance by departments and offices with approved evaluation recommendations (2); support for the United Nations Evaluation Group (1); and client-requested evaluations (2).

Table 31.12 **Resource requirements**

	Resources (thousands of United States dollars)		*Posts*	
Category	*2010-2011*	*2012-2013 (before recosting)*	*2010-2011*	*2012-2013*
Regular budget				
Post	4 836.5	6 052.2	17	23
Non-post	2 242.3	1 187.9	—	—
Subtotal	**7 078.8**	**7 240.1**	**17**	**23**
Other assessed	1 552.5	2 021.2	2	3
Total	**8 631.3**	**9 261.3**	**19**	**26**

31.28 The amount of $7,240,100, representing a net increase of $161,300, would provide for 23 posts (1 D-2, 1 D-1, 3 P-5, 4 P-4, 4 P-3, 5 P-2 and 4 General Service (Other level) totalling $6,052,200, and non-post resources ($1,187,900) relating to other staff costs, consultants, travel of staff, contractual services, general operating expenses, supplies and materials and furniture and equipment. The net increase under posts ($1,215,700) relates primarily to the delayed impact of three new posts (1 D-1, 1 P-2, 1 General Service (Other level)) established in the biennium 2010-2011, and the proposed conversion to established posts of six general temporary assistance positions (3 P-3, 3 P-2) in support of the strengthened focus on inspection and evaluation. The proposed conversions are consistent with the staffing adjustments reflected in the report of the Secretary-General on the strengthening of the Office of Internal Oversight Services (A/61/610). In that earlier report, the Secretary-General had proposed that nine posts be converted from general temporary assistance provisions. Although the Secretary-General proposed the conversion of nine positions in the context of the programme budget for the biennium 2010-2011, the General Assembly approved three conversions, endorsing the Advisory Committee's comments in paragraphs IX.9 and 10 of its first report on the proposed programme budget for the biennium 2010-2011 (A/64/7), in which the Committee noted that OIOS had agreed to assess the feasibility of the suggestion by the Independent Audit Advisory Committee that consideration be given to adopting a risk assessment approach at the subprogramme level, which would make more effective use of its evaluation resources.

31.29 In paragraph 8 of its report on its activities for the period from 1 July 2009 to 30 June 2010 (A/65/271 (Part I) and Corr.1), OIOS indicated that the Inspection and Evaluation Division had explored the feasibility of using its risk assessment methodology at the subprogramme level of the Secretariat in order to identify subprogrammes at risk and prioritize them in the Division's workplan for an earlier evaluation. However, owing to a lack of data for the 12 proxy risk indicators at the subprogramme level, the Division had concluded that it was not feasible to apply its current risk assessment methodology at that level.

31.30 The proposed conversion of the six positions from general temporary assistance is consistent with General Assembly resolution 62/236, whereby the Assembly reaffirmed the central role of

monitoring, inspection and evaluations, and would enable effective delivery of the programme of work and mandated activities planned for 2012-2013. Furthermore, the six general temporary assistance positions established in the biennium 2008-2009 would continue to be required by the Division in the biennium 2012-2013 and beyond; therefore the continuing nature of those posts would support their conversion to established posts.

31.31 The increase under posts ($1,215,700) is partially offset by the net decrease under non-post resources ($1,054,400) mainly relating to general temporary assistance as a result of the proposed conversion of six positions to established posts, and reduced requirements for travel of staff, consultants, supplies and materials and furniture and equipment. The reduction under non-posts is offset in part by increased requirements for CITRIX/mobile office licence fees to carry out critical activities to ensure business continuity management, and increased requirements under general operating expenses.

31.32 Projected other assessed contributions totalling $2,021,200, inclusive of three Professional posts funded therein, will be utilized for inspection and evaluation activities in support of peacekeeping operations. The volume of resources in comparison to the biennium 2010-2011 is projected to increase by $468,700, owing mainly to the proposed establishment of one P-5 post in the context of the support account for the period from 1 July 2011 to 30 June 2012 (A/65/761).

31.33 Pursuant to resolution 65/244, the General Assembly approved, in the context of the strategic framework for 2012-2013, the reduction of the programme evaluation cycle from the current time frame of 11-13 years to a periodic cycle of 8 years to cover all Secretariat programmes, and decided to include under subprogramme 2 an additional indicator of achievement (a) (iii) "Increased number of programmes evaluated". The Division proposes to take a phased approach in reducing the cycle from 11-13 years to 10 years first, before proceeding to 8 years. To accomplish the new indicator of achievement, the Division plans to evaluate five programmes in the biennium 2012-2013, including the Office for the Coordination of Humanitarian Affairs, UNHCR, the United Nations Office on Drugs and Crime, the United Nations Environment Programme and the Economic Commission for Africa. With the 10-year review cycle, it is anticipated that those programmes will be evaluated once in 10 years. As reflected in Assembly resolution 50/214, and noted in paragraph 115 of the report of the Advisory Committee (A/50/7), regular budget activities should not subsidize extrabudgetary budget activities and vice versa. Accordingly, noting that a significant amount of programme activities are funded through extrabudgetary resources, the Division intends to pursue funding for the evaluation of such activities from the extrabudgetary resources of the specific programme, in order enhance the programme evaluation effort.

Subprogramme 3
Investigations

Resource requirements (before recosting): $11,101,600

31.34 Substantive responsibility for this subprogramme is vested in the Investigations Division. The subprogramme will be implemented in accordance with the strategy detailed under subprogramme 3, Investigations, of programme 25, Internal oversight, of the strategic framework for the period 2012-2013.

Table 31.13 **Objectives for the biennium, expected accomplishments, indicators of achievement and performance measures**

Objective of the Organization: To enhance accountability through investigations of possible violations of rules or regulations, mismanagement, misconduct, waste of resources or abuse of authority

Expected accomplishments of the Secretariat	Indicators of achievement
(a) Improved quality of investigations that contribute to the Organization's ability to ensure individual accountability	(a) Increased percentage of investigation reports[1] resulting in charges of misconduct *Performance measures* 2008-2009: 50 per cent Estimate 2010-2011: 60 per cent Target 2012-2013: 70 per cent
(b) Enhanced awareness and capacity of United Nations personnel to undertake investigations effectively, contributing to the Organization's ability to ensure individual accountability	(b) Increased number of United Nations personnel who may have responsibility for investigations or investigation tasks who receive basic investigation training conducted by the Office of Internal Oversight Services *Performance measures* 2008-2009: 100 per cent Estimate 2010-2011: 100 per cent Target 2012-2013: 100 per cent

External factors

31.35 The subprogramme is expected to achieve its objectives and expected accomplishments on the assumption that external parties will cooperate in investigations.

Outputs

31.36 During the biennium 2012-2013, the following final outputs will be delivered:

(a) Servicing of intergovernmental and expert bodies (regular budget):substantive servicing of the Fifth Committee (4);

(b) Conference services, administration, oversight (regular budget/other assessed/ extrabudgetary): internal oversight services: assessment of the potential within programme areas for fraud and other violations through the analysis of systems of control in high-risk operations as well as offices away from Headquarters (1); provision of assistance and advice on prevention of fraud and other acts of misconduct as well as waste of resources, abuse of authority and mismanagement (1); provision of assistance and expertise for programme

[1] Investigation reports refer only to those reports where misconduct has been substantiated. If misconduct is not substantiated, a closure report, not an investigation report, is issued.

managers, and for the separately administered funds and programmes and investigations units of other international agencies with their investigations needs (1); clearance of backlog of cases for the period from 1998 to 2003 (1); continuing improvements in the confidential reporting facility as instituted under administrative instruction ST/AI/397 (1); investigative oversight services relating to functions performed by United Nations staff in connection with missions established by the Security Council (1); management of a conservatively estimated 450 additional cases of theft, embezzlement, smuggling, bribery and other forms of misconduct as well as waste of resources, abuse of authority and mismanagement (1); review of compliance of departments and offices with recommendations resulting from investigations and provision of assistance (1).

Table 31.14 **Resource requirements**

	Resources (thousands of United States dollars)		*Posts*	
Category	*2010-2011*	*2012-2013 (before recosting)*	*2010-2011*	*2012-2013*
Regular budget				
Post	9 789.7	9 939.6	35	35
Non-post	1 419.9	1 162.0	—	—
Subtotal	**11 299.6**	**11 101.6**	**35**	**35**
Other assessed	17 021.9	19 211.5	56	56
Extrabudgetary	444.8	475.3	2	2
Total	**28 766.3**	**30 788.4**	**93**	**93**

31.37 The amount of $11,101,600, representing a net decrease of $198,000, would provide for the continuation of 35 posts (1 D-2, 1 D-1, 3 P-5, 11 P-4, 7 P-3, 4 P-2, 2 General Service (Other level), 5 General Service (Principal level) and 1 Local level) totalling $9,939,600, and non-post resources ($1,162,000) relating to other staff costs, consultants, travel of staff, contractual services, general operating expenses, supplies and materials, furniture and equipment and grants and contributions. The net increase under posts ($59,900) reflects the proposed redeployment of five posts between duty stations within the subprogramme, including two P-2 Associate Investigator posts and one P-3 Investigator post from Vienna to New York; one P-4 Investigator post from Nairobi to New York; and one P-2 Associate Investigator post from Vienna to Nairobi. The proposal is consistent with the Secretary-General's report on strengthening investigations (A/62/582 and Corr.1) and takes into account the provisions of section II, paragraphs 8 and 9, of General Assembly resolution 63/265, in which the Assembly requested the Secretary-General to ensure that OIOS had the expertise and capacity within its approved structure to effectively investigate allegations of fraud, corruption and misconduct in procurement following the transfer of the Procurement Task Force cases to the Investigations Division. Although a similar proposal was made by the Secretary-General in the context of the programme budget for the biennium 2010-2011 and partially endorsed by the Advisory Committee under paragraphs IX.21 and IX.23 of its report (A/64/7), the General Assembly, in its resolution 64/243, reaffirmed its resolution 63/287 and did not support the Secretary-General's proposal.

31.38 It will be recalled that the Secretary-General made proposals, in the context of the support account budget for the period from 1 July 2009 to 30 June 2010, that reflected his initiatives on the strengthening of the investigation function, including the proposed restructuring of the Investigations Division (see A/63/767 and Corr.1, paras. 702-718), which was endorsed by the

Advisory Committee (see A/63/841) and the Independent Audit Advisory Committee (see A/63/703). The General Assembly, in its resolution 63/287 decided not to introduce the proposed structure and to designate, as a pilot project, centres of investigation in Nairobi, Vienna and New York from 1 July 2009 to 30 June 2012 and requested the Secretary-General to submit a preliminary report on the status of implementation of the pilot project at the second part of its resumed sixty-fifth session and a comprehensive report in the context of the 2012/13 support account budget with a view to deciding on a restructuring of the Investigations Division. Mindful of the Assembly's request contained in its resolution 63/287 regarding the outcome of the pilot project, it should be noted that the present proposal of the Secretary-General for the redeployment of posts does not intend to pre-empt any requirements that may arise as a result of the outcome of the pilot project, but is based on the more effective utilization of resources that would meet the actual needs and demands for regular budget-funded investigative activities in New York, Vienna and Nairobi. Although, thus far, investigations have primarily been demand driven, historical trends, risk-based exposures and data now being gathered on resource consumption by category of case and experience level of assigned investigator will provide better guidance for future resource planning by level, cost and location of deployment. Historical data now available for analysis are limited to caseload intake by volume, source and assigned responsibility for investigation by location.

31.39 Significant changes to the Division's workload that were not taken into consideration when the current structure of the Investigations Division was approved included the transfer of all cases stemming from the closure of the Procurement Task Force on 31 December 2008. Under the regular budget, the investigation capacity is comprised of 8 Investigator posts at Headquarters, 10 Investigator posts in Vienna, and 2 Investigator posts in Nairobi. In addition, five Professional posts provide central functions related to forensics, quality assurance and legal support at Headquarters. In terms of investigation workload, the number of new case intakes for 2010 under the regular budget included 19 matters for New York, 13 matters for Vienna and 8 matters in Nairobi.

31.40 The redeployment of a P-4 Investigator post from Nairobi to New York is proposed to cover demands related to the integration of the responsibilities of the Procurement Task Force, and provide further support in procurement matters for all offices. At the same time, the lesser demand for procurement investigations under the regular budget activities in Nairobi will be covered by a P-2 Associate Investigator to be redeployed from Vienna, where the capacity exceeds current demands.

31.41 The redeployment of two P-2 posts and one P-3 post from Vienna to New York will address the increased qualitative demands on investigation reports and post-investigation support. These demands primarily relate to the new administration of justice system, as it is expected that all investigation reports with adverse findings that result in a charge will require extensive post-investigation support, including both documentary and testimonial input for each case, which was not a requirement with the old system. Two P-2 Associate Investigator posts are proposed to be redeployed from Vienna to Headquarters as part of the Professional Practices Section, while one P-3 Investigator post will be utilized to strengthen the work of the Forensics Unit, with its Chief based in New York. The level of support provided is further reflected in the report of the Office of Internal Oversight Services on its activities for the period from 1 July 2009 to 30 June 2010 (A/65/271 (Part I) and Corr.1).

31.42 The reduction in non-post resources relates primarily to the decrease in travel of staff which takes into account past expenditure patterns, and contractual services owing to reduced requirements for the acquisition of the Prodagio Case Management system. Although funds totalling $174,000 have been approved in the biennium 2010-2011 for the system, the planned acquisition is being delayed

to 2012-2013, owing to limitations identified in the testing phase that indicated that the requirements of the Division's field offices would not be fulfilled. Alternative solutions to address the limitations will prove costly to the Organization and, given that the Division maintains resident investigators in seven missions, the acquisition of the system would not be an acceptable solution. Consequently, the amount of $130,100 being resubmitted in the biennium 2012-2013 will provide for the acquisition of an alternative system that meets security, accessibility and basic case management requirements.

31.43 The subprogramme is supported by extrabudgetary resources estimated at $475,300 and other assessed resources estimated at $19,211,500, including 58 posts (42 Professional, 1 General Service (Principal level) 12 General Service (Other level), 2 Local level and 1 Field Service), that will be utilized for investigation activities related to the United Nations funds and programmes, the International Criminal Tribunal for Rwanda and peacekeeping operations. The increased requirements of $2,189,600 from other assessed operations relate mainly to the anticipated increase in requirements for general temporary assistance in the context of the support account for the period from 1 July 2011 to 30 June 2012 (A/65/761). The volume of extrabudgetary resources estimated for 2012-2013 remains largely unchanged in comparison to 2010-2011.

C. Programme support

Resource requirements (before recosting): $2,635,900

31.44 Responsibility for providing backstopping support for the work of the internal oversight programme is vested in the Executive Office.

Outputs

31.45 During the biennium, the following outputs will be delivered: provision of advice to senior managers of the Office of Internal Oversight Services on administrative, financial, personnel and budgetary matters (1); servicing of the Office of Internal Oversight Services review body (1); administration of the Office of Internal Oversight Services staff and financial resources located at Headquarters, Geneva, Vienna, Nairobi and other duty stations including the various peacekeeping missions and the Tribunals, under the delegation of authority granted by the Secretary-General (see ST/AI/401 and ST/AI/2003/4) and in accordance with the regulations and rules of the United Nations (1).

Table 31.15 **Resource requirements**

	Resources (thousands of United States dollars)		*Posts*	
Category	*2010-2011*	*2012-2013 (before recosting)*	*2010-2011*	*2012-2013*
Regular budget				
Post	1 826.0	1 826.0	8	8
Non-post	794.9	809.9	—	—
Subtotal	**2 620.9**	**2 635.9**	**8**	**8**
Other assessed	1 130.5	1 031.5	4	4
Total	**3 751.4**	**3 667.4**	**12**	**12**

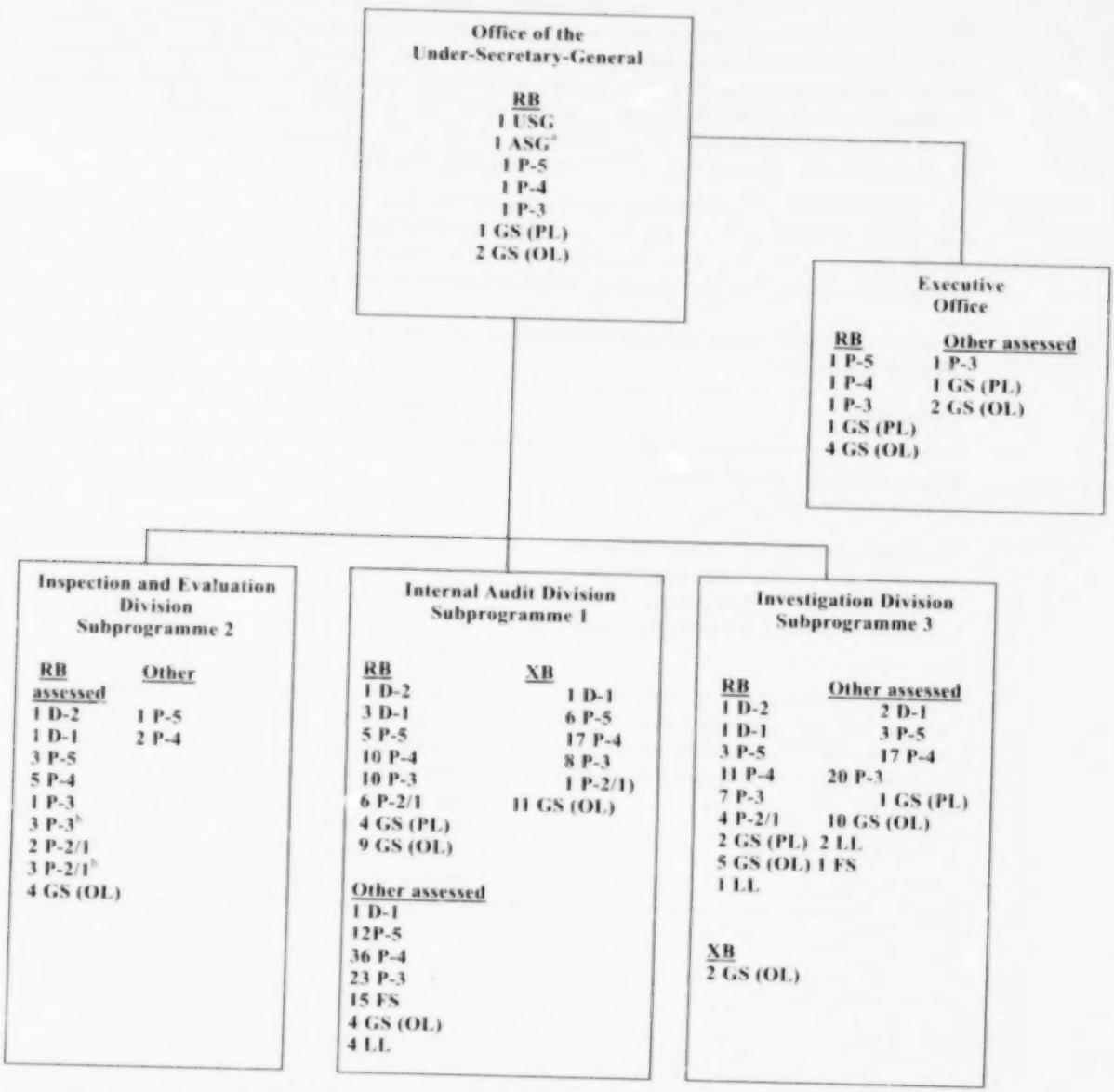
31.46 The amount of $2,635,900, representing a net increase of $15,000, would provide for the continuation of eight posts (1 P-5, 1 P-4, 1 P-3, 1 General Service (Principal level) and 4 General Service (Other level)) totalling $1,826,000, and non-post resources ($809,900) relating to other staff costs, travel of staff, contractual services, general operating expenses, supplies and materials and furniture and equipment. The net increase under non-post resources relates largely to contractual services for network access fees ($80,000) to provide staff away from Headquarters with access to the AutoAudit and Issue Track applications, increased requirements for network device and hosting services, offset in part by reduced requirements under general operating expenses resulting from the lower standard costs for telephone and facsimile services at Headquarters ($420/staff/year, or monthly rate of $35/staff) compared to the standard rate of $1,400/staff/year or monthly rate of $117 per staff, applied in 2010-2011.

31.47 The subprogramme is supported by other assessed resources estimated at $1,031,500, including four posts (1 Professional, 3 General Service) that will provide backstopping support for the work of the internal oversight programme for activities related to peacekeeping operations. The anticipated reduction of $99,000 reflects reduced requirements for general operating expenses.

Table 31.16 **Summary of follow-up action taken to implement relevant recommendations of the oversight bodies**

Brief description of the recommendation	*Action taken to implement the recommendation*
Report of the Board of Auditors (A/65/5 (vol. I, chap. II))	
The Board recommends that the Office of Internal Oversight Services expedite the completion of its risk assessments of all the entities over which it has authority (para 454)	Implemented. The Internal Audit Division has concluded the risk assessments of all its major clients, including the regional commissions and the Executive Office of the Secretary-General
The Board recommends that the Office of Internal Oversight Services further increase its completion rate of audit assignments, inter alia, by reducing the rate of vacancy of its posts (para 456)	In progress. The Internal Audit Division is taking steps to further improve its productivity, for example, the revision of its electronic working paper system called AutoAudit will improve efficiency and documentation of its work. The Division continues to work to reduce the vacancy rate through the quick recruitment of posts where possible

Office of Internal Oversight Services
Organizational structure and post distribution for the biennium 2012-2013



Abbreviations: RB, regular budget; XB, extrabudgetary; ASG, Assistant Secretary-General; USG, Under-Secretary-General; GS, General Service; PL, Principal level; OL, Other level; LL, Local level.

[a] New posts.

[b] Conversions from general temporary-assistance funded positions to posts.

Annex

Outputs produced in 2010-2011 not to be carried out in the biennium 2012-2013

A/64/6 (Sect. 30), paragraph	*Output*	*Quantity*	*Reason for discontinuation*
30.22 (a) (i)	Substantive servicing of meetings, including those of the Fifth Committee on evaluation issues	6	The Committee for Programme and Coordination changed its cycle for considering evaluation reports from every year to every two years, resulting in fewer parliamentary documents
30.22 (a) (ii) a.	Substantive servicing of meetings of other intergovernmental and expert committees concerned with each programme evaluated	6	Idem
	Substantive servicing of the Committee for Programme and Coordination	6	Idem
30.22 (a) (ii) b.	Parliamentary documentation: in-depth evaluation reports	3	Idem
	Thematic evaluation reports on topics to be decided by the Committee for Programme and Coordination (1)	1	
30.22 (c) (i)	Inspections: inspection reports	5	Idem
	Reports on compliance with programme performance reporting	2	
30.22 (c) (ii)	Assistance to programme managers in strengthening self-evaluation capacities and providing training as requested	1	Pursuant to paragraph 3 of its resolution 63/276, the General Assembly endorsed the principles of the results-based management, as recommended by the Advisory Committee in paragraph 38 of its report (A/63/457). In its resolution 64/259, the General Assembly requested the Secretary-General to take appropriate measures to accelerate the implementation of results-based management. Pursuant to its resolution 65/244 on programme planning, the General Assembly endorsed the conclusions and recommendations of the Committee for Programme and Coordination on the proposed strategic framework for the period 2012-2013, contained in its report on the work of if fiftieth session (A/65/16). In that report, the
30.22 (c) (ii)	Methodological assistance and guidance to programme managers on self-evaluation	1	

A/64/6 (Sect. 30), paragraph	*Output*	*Quantity*	*Reason for discontinuation*
			Committee for Programme and Coordination endorsed the strategic framework for programme 24, which included the provision of more effective support on results management approaches, including regular and systematic monitoring and self-evaluation practices (see para. 24.4 of A/65/6 (Prog. 24)
Total		**31**	